SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>Tovey Christopher J.   | 2. Date of<br>Requiring<br>(Month/Dat<br>05/05/20              | Statement<br>y/Year) | 3. Issuer Name <b>and</b> Ticker or Trading Symbol<br>Jazz Pharmaceuticals plc [JAZZ]  |  |                                    |                |   |   |
|--|--|----------------------|--|--|------------------------------------|----------------|---|---|
| (Last) (First) (Middle)<br>5TH FL, WATERLOO EXCHANGE<br>WATERLOO RD<br>(Street)<br>DUBLIN 4 L2<br>(City) (State) (Zip)       | _  |                      | 4. Relationship of Reporting<br>Issuer<br>(Check all applicable)<br>Director<br>X Officer (give<br>title below)<br>EVP COO & Managin | 10% O<br>Other (<br>below)             | wner<br>specify                    | Fileo<br>6. In | d (Month/Day/<br>dividual or Jo<br>eck Applicable<br>Form filed I<br>Person | int/Group Filing<br>Line)<br>by One Reporting<br>by More than One |
| Table I - Non-Derivative Securities Beneficially Owned   |  |                      |  |  |                                    |                |   |   |
| 1. Title of Security (Instr. 4)  |  |                      | 2. Amount of Securities<br>Beneficially Owned (Instr.<br>4)  | Form: D<br>(D) or Ir                   |                                    |                | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5)                    |   |
| Ordinary Shares  |  |                      | 25   | D                                      |                                    |                |   |   |
| Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities) |  |                      |  |  |                                    |                |   |   |
| 1. Title of Derivative Security (Instr. 4)   | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                      | 3. Title and Amount of Securities<br>Underlying Derivative Security<br>(Instr. 4)  |  | 4.<br>Convers<br>or Exerc          | cise           |   | 6. Nature of<br>Indirect Beneficial<br>Ownership (Instr.          |
|  | Date<br>Exercisable  | Expiration<br>Date   | Title  | Amount<br>or<br>Number<br>of<br>Shares | Price of<br>Derivative<br>Security |                | or Indirect<br>(I) (Instr. 5)   | 5)  |
| Non-Qualified Stock Option (right to buy)  | (1)  | 02/22/2031           | Ordinary Shares  | 8,063                                  | 0.02                               | 2              | D   |   |

Explanation of Responses:

1. Option to acquire Jazz Ordinary Shares received upon conversion of options to acquire shares of GW Pharmaceuticals PLC ("GW") pursuant to the GW Transaction Agreement among Jazz Pharmaceuticals UK Holdings Limited, Jazz Pharmaceuticals Public Limited Company and GW dated February 3, 2021 (the "Merger"). The effective date of the Merger was May 5, 2021. Fifty percent of the award will vest and be available for exercise on February 22, 2022 and fifty percent will vest and be available for exercise on February 22, 2023 in accordance with the terms of the Merger.

> By: /s/Adam Guttmann, as
>
>
>  attorney in fact For:
>  05/07/2021
>
>
>  Christopher J Tovey
>
>
>  \*\* Signature of Reporting Person
>  Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY Know all by these presents, that the undersigned hereby constitutes and appoints each of PAZ DIZON, NEENA PATIL, ADAM GUTTMANN and AISLINN DOODY. signing individually, the undersigned's true and lawful attorneys-in fact and agents to: 1) execute for and on behalf of the undersigned (a) Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and the rules thereunder and (b) Forms 144 in accordance with Rule 144 promulgated under the Securities Act of 1933, as amended, as such Rule may be amended from time to time (or any successor rule) ("Rule 144"); 2)do and perform any and all acts for and on behalf of the undersigned that may be necessary or desirable to complete and execute such Forms 3, 4, 5 or 144 (collectively, the "Forms"), complete and execute any amendment or amendments thereto, and timely file such Forms or amendments with the U.S. Securities and Exchange Commission and any stock exchange or similar authority; and 3)take any other action of any nature whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit, in the best interest of, or legally required by, the undersigned, including but not limited to executing and delivering for and on behalf of the undersigned any seller's representation letter that may be required to be submitted to any broker effecting any sale of securities issued by Jazz Pharmaceuticals plc (the "Company") for the account of the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion. The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-infact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company or any of its affiliates, assuming, any of the undersigned's responsibilities to comply with (a) Section 16 of the Exchange Act and (b) all of the applicable conditions of the Rule 144 safe harbor with respect to a sale of securities issued by the Company. This Power of Attorney shall remain in full force and effect until the earliest to occur of (a) the undersigned is no

effect until the earliest to occur of (a) the undersigned is no longer required to file any Forms with respect to the undersigned's holdings of and transactions in securities issued by the Company, (b) revocation by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact or (c) as to any attorney-in-fact individually, until such attorney-infact shall no longer be employed by the Company or an affiliate of the Company.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 7th day of May, 2021. /s/ Chris Tovey CHRIS TOVEY